

# **LYXOR JAPAN (TOPIX) (DR) UCITS ETF**

periodic  
information

SUB-FUND OF THE MULTI UNITS FRANCE SICAV

*The periodic information document is not subject to certification by the Fund's Statutory Auditor.*

Periodic Information as at 28/04/2022

## Statement of assets

Items of the statement of assets	Accounting currency of the CIU: JPY	Amount at periodic reporting date
a) Eligible financial securities referred to in paragraph 1° of section I of Article L.214-20 / 1° of section I of Article L.214-24-55 of the French Monetary and Financial Code		-
b) Bank assets		168,016,790
c) Other assets held by the CIU		188,804,444,549
d) Total assets held by the CIU (lines a+b+c)		<b>188,972,461,339</b>
e) Liabilities		-34,490,186,228
f) Net asset value (lines d+e = CIU net assets)		<b>154,482,275,111</b>

## Number of units or shares outstanding and net asset value per unit or share

Units or shares	Number of outstanding units or shares	Currency of units or shares	Net asset value per unit or share (Net Asset Value)
DIST SHARES (JPY) / FR0010377028	591,094	JPY	18,278.0234
DIST SHARES (EUR) / FR0010245514	6,337,191	EUR	133.6106
DAILY HEDGED TO EUR - DIST SHARES / FR0011475078	1,360,176	EUR	144.1565

## Tracking error

Tracking error is calculated over a period of 52 weeks.

Units or shares	Tracking error
DIST SHARES (JPY) / FR0010377028	0.242%
DIST SHARES (EUR) / FR0010245514	0.242%
DAILY HEDGED TO EUR - DIST SHARES / FR0011475078	0.320%

## Securities portfolio

Securities portfolio items	Percentage	
	Net assets	Total assets
a) Eligible financial securities and money market instruments admitted to trading on a regulated market within the meaning of Article L.422-1° of the French Monetary and Financial Code.		
and b) Eligible financial securities and money market instruments admitted to trading on another regulated market that operates regularly and is recognised and open to the public and whose registered office is located in a Member State of the European Union or in another State party to the agreement on the European Economic Area.	-	-
c) Eligible financial securities and money market instruments admitted to official listing on a stock exchange in a third country or traded on another regulated market in a third country that operates regularly and is recognised and open to the public, provided that this stock exchange or market is not included on a list drawn up by the French Financial Markets Authority or the choice of this stock exchange or market is provided for by law or by the regulations or articles of association of the CIU/general investment fund.	-	-
d) The newly issued financial securities referred to in paragraph 4 of section I of Article R.214-11 / 4° of section I of Article R.214-32-18 of the French Monetary and Financial Code.	-	-
e) Other assets	99.67	81.48

## Breakdown of assets by currency

Currencies (10 main currencies)	Amount	Percentage	
	Accounting currency of the CIU	Net assets	Total assets
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
Other currencies	-	-	-
Total	-	-	-

## Breakdown of assets by country of residence of the issuer

Country (10 main countries)	Percentage	
	Net assets	Total assets
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
Other countries	-	-
Total	-	-

## Breakdown of assets by economic sector

Economic sector (10 main sectors)	Percentage	
	Net assets	Total assets
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
-	-	-
Other sectors	-	-
Total	-	-

## Breakdown of other assets by type

Type of assets	Percentage	
	Net assets	Total assets
UNITS OR SHARES OF UCITS:	<b>99.67</b>	<b>81.48</b>
- General purpose professional funds	-	-
- Feeder UCITS	-	-
- Specialised professional funds	-	-
- Private equity funds (FCPR, FCPI, FIP)	-	-
- OPCI and OPPCI (Professional Real Estate Collective investment undertakings)	-	-
- Other	99.67	81.48
OTHER TYPES OF ASSETS:	-	-
- Subscription warrants	-	-
- Certificates of deposit	-	-
- Promissory notes	-	-
- Mortgage notes	-	-
- Other	-	-
TOTAL	<b>99.67</b>	<b>81.48</b>

## Indication of changes in the composition of the securities portfolio during the reference period

Securities portfolio items	Movements (in amount)	
	Acquisitions	Disposals/Redemptions
<i>Accounting currency of the CIU: JPY</i>		
a) Eligible financial securities and money market instruments admitted to trading on a regulated market within the meaning of Article L.422-1° of the French Monetary and Financial Code.	-	-
and b) Eligible financial securities and money market instruments admitted to trading on another regulated market that operates regularly and is recognised and open to the public and whose registered office is located in a Member State of the European Union or in another State party to the agreement on the European Economic Area.	-	-
c) Eligible financial securities and money market instruments admitted to official listing on a stock exchange in a third country or traded on another regulated market in a third country that operates regularly and is recognised and open to the public, provided that this stock exchange or market is not included on a list drawn up by the French Financial Markets Authority or the choice of this stock exchange or market is provided for by law or by the regulations or articles of association of the CIU/general investment fund.	-	-
d) The newly issued financial securities referred to in paragraph 4 of section I of Article R.214-11 / 4° of section I of Article R.214-32-18 of the French Monetary and Financial Code.	-	-
e) Other assets	30,798,445,977	24,601,984,886
Total over the period	30,798,445,977	24,601,984,886

## Management fees

Performance commissions (variable fees): amount and % of costs over the period	Amount	%
Unit class:		
DIST SHARES (JPY) / FR0010377028	-	-
DIST SHARES (EUR) / FR0010245514	-	-
DAILY HEDGED TO EUR - DIST SHARES / FR0011475078	-	-

## Indication of the figures relating to unit distributions on income and/or on net realised capital gains or losses (“NRCG”), paid during the period or to be paid, after deduction of taxes

Date	Unit/share class	Unit/share currency	Nature	Net amount per unit	Unit tax credits	Gross amount per unit
08/12/2021	DIST SHARES (JPY) / FR0010377028	JPY	Dividend on earnings paid	125.00	-	125.00
08/12/2021	DIST SHARES (EUR) / FR0010245514	EUR	Dividend on earnings paid	126.50119	-	126.50119
08/12/2021	Daily Hedged to EUR - Dist / FR0011475078 equities	EUR	Dividend on earnings paid	129.08285	-	129.08285
-	-	-	-	-	-	-
-	-	-	-	-	-	-
-	-	-	-	-	-	-

## Transparency of securities financing operations and reuse of financial instruments SFTR regulation (Accounting currency of the CIU)

During the period, the CIU did not carry out any transactions subject to the SFTR regulation, namely no repurchase transactions, securities or commodities lending/borrowing, buy-sell back or sell-buy back transactions, margin lending transactions or total return swaps (TRS).

## APPENDIX TO THE REPORT

### intended for Swiss subscribers

This appendix makes the brochure compliant with the FINMA requirements for marketing in Switzerland. **It has not been certified by the accountants.**

Fund country of origin:

France.

Representative of the Swiss Fund

Société Générale, Paris, Zurich Branch, has been authorised by the FINMA as the Fund's representative in Switzerland while also assuming the payment service. The prospectus, articles of association, annual and semi-annual reports of the Fund, the KIIDs as well as the list of purchases and sales made by the Fund during the financial year can be obtained on request and at no cost from the representative's head office in Switzerland, Société Générale, Paris, Zurich Branch, Talacker 50, PO Box 5070, 8021 Zurich.

Calculation of the Total Expense Ratio (in compliance with the recommendations of the Swiss Funds & Asset Management Association SFAMA)

Annual closing of the collective investment:

31-Oct

Management commission of the fund:

0.45

%  
including  
tax

Average assets of the fund for the period from 01/05/2021 to 29/04/2022:

152,269,401,299.00

Excerpt from the income statement

Expenses in euros	Half-yearly report 30/04/2021	Annual report 29/10/2021	Half-yearly report 29/04/2022
Fund management commission	263,841,769.00	593,381,310.00	352,184,435.00
Performance fee to be paid to the manager of collective investments of capital	0.00	0.00	0.00
Depository bank commission	0.00	0.00	0.00
Other expenses	0.00	0.00	0.00
Taxes	0.00	0.00	0.00
Total operating expenses	263,841,769.00	593,381,310.00	352,184,435.00

**Calculation of the TER for 12 months, from 01/05/2021 to 29/04/2022:**

TER, including performance fee

$$(((593,381,310.00 - 263,841,769.00) + 352,184,435.00) / 152,269,401,299.00) * 100$$

0.45 %

Performance fee as a share in percentage of the net average assets

- %



# BALANCE SHEET assets

	28.04.2022	30.04.2021
Currency	JPY	JPY
<b>Net fixed assets</b>	-	-
<b>Deposits</b>	-	-
<b>Financial instruments</b>	<b>153,967,302,311</b>	<b>137,062,184,724</b>
• <b>EQUITIES AND SIMILAR SECURITIES</b>		
Negotiated on a regulated or similar market	-	-
Not negotiated on a regulated or similar market	-	-
• <b>BONDS AND SIMILAR SECURITIES</b>		
Negotiated on a regulated or similar market	-	-
Not negotiated on a regulated or similar market	-	-
• <b>DEBT SECURITIES</b>		
Traded on a regulated or similar market		
<i>Negotiated debt securities</i>	-	-
<i>Other debt securities</i>	-	-
Not traded on a regulated or similar market	-	-
• <b>COLLECTIVE INVESTMENT UNDERTAKINGS</b>		
General UCITS and AIF intended for non-professionals and equivalent, of other countries	153,967,302,311	137,062,184,724
Other Funds intended for non-professionals and equivalent, of other EU member states	-	-
General professional Funds and equivalent, of other EU member states and listed securitisation entities	-	-
Other professional investment Funds and equivalent of other EU member states and non-listed securitisation entities	-	-
Other non-European entities	-	-
• <b>TEMPORARY SECURITIES TRANSACTIONS</b>		
Receivables representing financial securities under reverse repurchase agreements	-	-
Receivables representing loaned financial securities	-	-
Financial securities borrowed	-	-
Financial securities under repurchase agreements	-	-
Other temporary transactions	-	-
• <b>FINANCIAL CONTRACTS</b>		
Operations on a regulated or similar market	-	-
Other operations	-	-
• <b>OTHER FINANCIAL INSTRUMENTS</b>	-	-
<b>Receivables</b>	<b>34,837,142,238</b>	<b>57,728,311,582</b>
Future foreign exchange operations	34,828,704,396	57,526,391,568
Other	8,437,842	201,920,014
<b>Financial accounts</b>	<b>168,016,790</b>	<b>24,554,669,132</b>
Liquidities	168,016,790	24,554,669,132
<b>Other assets</b>	-	-
<b>Total assets</b>	<b>188,972,461,339</b>	<b>219,345,165,438</b>

# BALANCE SHEET liabilities

	28.04.2022	30.04.2021
Currency	JPY	JPY
<b>Shareholders' equity</b>		
• Capital	137,219,883,973	127,647,216,076
• Non-distributed prior net capital gains and losses	14,564,600,452	6,129,042,377
• Carried forward	1,212,423,842	1,001,966,294
• Net capital gains and losses of the fiscal year	1,833,896,761	2,881,305,616
• Result of the fiscal year	-348,529,917	-298,530,024
<b>Total shareholders' equity</b> <i>(amount representing the net assets)</i>	<b>154,482,275,111</b>	<b>137,361,000,339</b>
<b>Financial instruments</b>	-	-
• SALE OPERATIONS ON FINANCIAL INSTRUMENTS	-	-
• TEMPORARY SECURITIES TRANSACTIONS OPERATIONS		
Debts representing financial securities under repurchase agreements	-	-
Debts representing borrowed financial securities	-	-
Other temporary transactions	-	-
• FINANCIAL CONTRACTS		
Operations on a regulated or similar market	-	-
Other operations	-	-
<b>Payables</b>	<b>34,468,227,926</b>	<b>57,477,509,004</b>
Future foreign exchange operations	34,360,982,619	57,218,789,672
Other	107,245,307	258,719,332
<b>Financial accounts</b>	<b>21,958,302</b>	<b>24,506,656,095</b>
Bank loans and overdrafts	21,958,302	24,506,656,095
Loans	-	-
<b>Total liabilities</b>	<b>188,972,461,339</b>	<b>219,345,165,438</b>

# Income statement

	28.04.2022	30.04.2021
Currency	JPY	JPY
<b>Earnings on financial transactions</b>		
• Earnings on deposits and financial accounts	20	11,047
• Earnings on equities and similar securities	-	-
• Earnings on bonds and similar securities	-	-
• Earnings on debt securities	-	-
• Earnings on temporary financial securities acquisitions and sales	-	-
• Earnings on financial contracts	-	-
• Other financial products	-	-
<b>Total (I)</b>	<b>20</b>	<b>11,047</b>
<b>Charges on financial operations</b>		
• Charges on temporary financial securities acquisitions and sales	-	-
• Charges on financial contracts	-	-
• Charges on financial debts	-422,401	-274,654
• Other financial charges	-	-
<b>Total (II)</b>	<b>-422,401</b>	<b>-274,654</b>
<b>Profit or loss on financial operations (I - II)</b>	<b>-422,381</b>	<b>-263,607</b>
Other earnings (III)	-	-
Management fees and amortisation & depreciation (IV)	-352,184,435	-263,841,769
<b>Net profit and loss of the fiscal year (L.214-17-1) (I - II + III - IV)</b>	<b>-352,606,816</b>	<b>-264,105,376</b>
Adjustment of the fiscal year's incomes (V)	4,076,899	-34,424,648
Advances on result paid for the fiscal year (VI)	-	-
<b>Earnings (I - II + III - IV +/- V - VI):</b>	<b>-348,529,917</b>	<b>-298,530,024</b>

## Shareholders' equity

DIST SHARES (JPY) /  
FR0010377028

	Subscriptions		Redemptions	
	Number of units	Amount	Number of units	Amount
Number of units issued/redeemed during the fiscal year	131,748	2,403,568,446	59,252	1,115,233,029

DIST SHARES (EUR) /  
FR0010245514

	Subscriptions		Redemptions	
	Number of units	Amount	Number of units	Amount
Number of units issued/redeemed during the fiscal year	1,252,294	23,794,744,715	556,722	10,351,782,925

DAILY HEDGED TO EUR - DIST  
SHARES / FR0011475078

	Subscriptions		Redemptions	
	Number of units	Amount	Number of units	Amount
Number of units issued/redeemed during the fiscal year	1,214,681	24,119,740,805	1,124,131	21,525,216,094